IAP15 Rec'd PCT/PTO 10 PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995 persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/531114 TRANSMITTA International App No. PCT/EP03/11191 **FORM** First Named Inventor Hemmerling **Art Unit** (to be used for all correspondence after initial filing) **Examiner Name** Total Number of Pages in This Submission **Attorney Docket Number** ELK-003; 740-003

			ENC	LOSURES	(Check all that ap	ply)				
	Fee Transmittal Form		Drawing(s)				After Allowance communication to (TC)			
	Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences				
	Amendment / Reply After Final		Petition				Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
			Petition to Convert to a Provisional Application			Proprietary Information				
	Affidavits/de	leclaration(s)	Power of Attorney, Revocation Change of Correspondence Address		ge	Status Letter				
	Extension of Time Request		Terminal Disclaimer Request for Refund CD, Number of CD(s)		×	Other Enclosure(s) (please identify below):				
	Express Abandonment Request					onse to Notification of Missing irements including:				
	Information Disclosure Statement				1,040	Declaration				
	Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Landscape Table on CD				Sequence Listing on Diskette			
			Remarks PLEASE CHARGE ANY FEE DEFICIENCIES OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT 502569							
) SIGNA	TURE	OF APPLICAN	T, ATTORNEY,	OR AGE	NT			
Firm Nar	me McGui	inners & Manaras	(LP/							
Signatur	e	IXMM		1 Down	d					
Printed name Michele Young				1						
Date		Juli	15	NOOL	U	Reg. No.	43299			
CERTIFICATE OF TRANSMISSION/MAILING										
The state of the s										
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.										
Signature Coul				nn/	ahore	'M	/ ,			
Typed or printed name			พท	Max	1.Oness		Date x 7/5/06			

This collection of information is required by 37 CFR 1.5. The information is required to obtain of retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under tile Paper	Work Reduction	ACI 01 1990, 110	persons are requ	uneo to respond to a	CORRECTION OF INION			ontroi number.
	Effective on 1			-		Complete if Kn	own	
Fees pursuant to the C		-		,	on Number	10/531114		
FEET	RAN	SMI	TTAL	I.A. Filing		10/09/2003	C	·
_	ar EV	2005		_	ned Inventor	Hemmerling		
F\	OFFI	2005		Examine	r Name			
Applicant Claims	small entity s	tatus. See 37	7 CFR 1.27	Art Unit				
TOTAL AMOUNT OF PAY	YMENT	(\$) 130.00		Attorney	Docket No.	ELK-003; 740)-003	
METHOD OF PAYME	NT (check al	that apply)						
Check C	redit Card [Money C	Order No	one Other	(please identify	/):		
X Deposit Account	Deposit Acco	ount Number: 5	502569	Deposit Acco	unt Name: Mc	Guinness & Manar	asLLP	
For the above-ide	-	account, the Di		authorized to: (che	ck all that apply			fee
			ayments of fee(edit any overpa		cpe for the ining	
WARNING: Information on t	CFR 1.16 and his form may be		Credit card inform	nation should not be i	ncluded on this f	´ 'orm. Provide credit	card information a	and .
authorization on PTO-2038. FEE CALCULATION								
1. BASIC FILING, SEA	RCH AND	FXAMINATI	ON FEES					
1. D-DIO I ILINO, OL	FILING		SEARCH	HFEES	EXAMINAT	TON FEES		
	\$	Small Entity		Small Entity		nall Entity		
Application Type	Fee (\$)	Fee (\$)		Fee (\$)		Fee (\$)	Fees Pa	<u>id (\$)</u>
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0 -	0	0		
2. EXCESS CLAIM FE Fee Description	ES						—	nall Entity Fee (\$)
Each claim over 20 or,	for Reissues.	each claim	over 20 and m	ore than in the or	riginal patent		50	25
Each independent clair						ginal patent	200	100
Multiple dependent cla		ŕ	-		•		360	180
Total Claims	Extra Claim	<u>s Fe</u>	<u>e (\$)</u>	Fee Paid (\$)	1	<u>Multiple Depende</u>		
0 -20 or HP			<u>50.00</u> =	\$ 0.00		Fee (\$)	Fee Paid (\$)	
HP = highest number of total cl			- (4)			<u>\$360.00</u>		
Indep. Claims 0 -3 or HP =	Extra Claim O		<u>se (\$)</u> 00.00 =	Fee Paid (\$) \$ 0.00	07/13/200	6 GFREY1 000	00120 502569	10531114
HP = highest number of independent claims paid for, if greater than 3 01 FC:1617 130.00 DA								
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)								
for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)								
Total Sheets	Extra She	<u>eets</u> /50=					· · · · · · · · · · · · · · · · · · ·	Paid (\$)
1 -100 = 0 /50 = 0 (round up to a whole number) x \$250.00 = \$0.00 4. OTHER FEE(S)								
Non-English Specification, \$130 fee (no small entity discount)								
Other: Missing Requirements Surcharge 130.00								
	1/1/							
SUBMITTED BY	111		γ					
Signature	1 Value		Reg	istration No. 43	3299	Teleph	one 978-26	4-6664
Name (Print/Type) Michele Young Date MUS / DUG						1006		
This collection of information	a io roquirod by	. 27 CED 4 426	1 The internal		A-!A-!	h	1	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 US.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/531,114

Ckaudia Hemmerling

EIK-0003

PCT/EP03/11191

I.A. FILING DATE

PRIORITY DATE

10/09/2003

10/09/2002

23413 CANTOR COLBURN, LLP 55 GRIFFIN ROAD SOUTH BLOOMFIELD, CT 06002

RECEIVED

AAY 15 2006

CANTOR COLBURN LLP

CONFIRMATION NO. 2110 371 FORMALITIES LETTER *OC000000018738373*

Date Mailed: 05/10/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/17/2006
- Copy of the International Search Report filed on 04/11/2005
- Information Disclosure Statements filed on 02/17/2006
- Biochemical Sequence Listing filed on 02/17/2006
- Copy of references cited in ISR filed on 02/17/2006
- U.S. Basic National Fees filed on 02/17/2006
- Priority Documents filed on 02/17/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

• A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531.114	PCT/EP03/11191	EIK-0003

FORM PCT/DO/EO/905 (371 Formalities Notice)